



**REQUEST FOR PROPOSAL FROM FIRMS ONLY**

**CONSULTANCY SERVICES TO CONDUCT CAPACITY GAP ASSESMENT AND DEVELOP  
CAPACITY BUILDING PROGRAMS/INTERVENTIONS TO BUILD THE CAPACITIES OF KEY  
ANTI-CORRUPTION ACTORS**

**PROCUREMENT REFERENCE NO:AUABC/CONS/2023/01**

**A. Background**

The Member States of the African Union adopted the African Union Convention on Preventing and Combating Corruption (AUCPCC/The Convention) at the Second Ordinary Session of the Assembly of the Union, held in Maputo (Mozambique), on 11th July 2003. The Convention entered into force on 5th August 2006, thirty (30) days after the deposit of the fifteenth (15th) instrument of ratification. To date forty-eight (48) countries have ratified the Convention and are States Parties to it.

The African Union Advisory Board Against Corruption (AUABC/The Board) emanated from the Convention, and it was created on 26th May 2009 under Article 22 (1) with a mandate to promote and encourage the adoption of measures and actions by State Parties to prevent, detect, punish and eradicate corruption and related offences in Africa as well as to follow-up on the application of those measures.

The Advisory Board Against Corruption has the broad mandate to:

1. Document and analyze corrupt activities;
2. Advise the AU and Member States on all matters relating to corruption;
3. Monitor and report on implementation and compliance with the Convention through receipt of State Reports; and
4. Engage in advocacy and outreach to prevent corruption.

The second strategic pillar of the 2018-2022 Strategic Plan was aimed at enhancing the capacity of State Parties to combat corruption. One of the major challenges facing anti-corruption agencies is the lack of capacity and skills to conduct effective investigations as well as techniques that build strong cases for better prosecution. And further, research has also revealed that many cases do not have a better prosecution results due to technicalities either at investigations or prosecution level. Furthermore, many of the anti-corruption agencies also face high staff turn-over thereby worsening the already dire capacity problems. The Board therefore intends to develop a capacity building programme that will target corruption prevention, investigations and prosecution and

focus shall also be on enhancing effective coordination and collaboration among key actors along the anti-corruption chain namely prevention, investigation and prosecution.

The AUABC recognizes that there have been various interventions by numerous stakeholders in building capacity of practitioners and officers from the national anti-corruption authorities, national prosecution agencies and other government agencies with an anti-corruption mandate. It is therefore paramount that the capacity building interventions respond to actual needs and are demand driven. The Board therefore will start by conducting a needs assessment to identify capacity gaps from which interventions to close the gaps will be developed.

## **B. Objective of the Consultancy**

The main objective of the consultancy is to identify capacity gaps within the Member States.. The Consultant will also be required to develop capacity building programmes/interventions that will facilitate the equipping of key actors with skills and knowledge to successfully deal with challenges that arise during investigations and prosecution of corruption and economic crimes.

The specific objectives of the consultancy are;

- i. To identify key actors within African Union Members states dealing with corruption prevention, investigation and prosecution;
- ii. To conduct a needs assessment exercise to identify capacity gaps in the prevention, investigations and prosecution of corruption; and
- iii. To propose and develop tailor made capacity building programmes/interventions to close the identified gaps.

The report of the assessment as well as the proposed interventions and programme will be used to identify institutions and experts that can offer the capacity building programmes to the key actors in the anti-corruption chain within African Union Member States.

## **C. Scope of the Consultancy**

The consulting firm is expected to do the following.

- i. To identify national and regional anti-corruption actors within the Member States;
- ii. To identify the challenges, bottlenecks, constraints that actors face in corruption prevention, investigation and prosecution of corruption cases;
- iii. To identify capacity gaps along the corruption prevention, investigation and prosecution chain in all State Parties using appropriate assessment instruments; and
- iv. To propose targeted interventions, programmes that will facilitate the closing of the capacity gaps.

## **D. Reporting Requirements, Time Frames and Deliverables**

It is estimated that the consultancy shall take 45 days spread over 90 days. The activity will be carried out in the second quarter of 2023. The final deliverable is expected to be submitted by 30<sup>th</sup> June 2023. Consultant will be required to submit an inception report including proposed work plan and methodology of work seven (7) days after signing contract. Once the inception report is

approved, the consultant shall proceed to conduct the assessment and develop the capacity building programmes. The consultant is expected to submit the following deliverables:

1. Capacity Gap and Analysis Report
2. Capacity Building Programme/Intervention

#### **E. Identification of the Consulting Firm**

The Consulting firm shall be selected based on the following minimal educational qualifications and experience of team members as well as cumulative experience of the Consulting firm.

##### **i. Profile of the Consulting Firm**

- Minimum of 10 years' experience working on projects relating to organization development and or capacity building;
- Experience in development of needs assessment instruments;
- To have worked on at least 3 demonstrable projects on capacity building of institutions;
- Verifiable references of previous work of similar nature;
- Experience in working with institutions that promote good governance and anti-corruption; and
- Must be from an African Union Member State.

##### **ii. Composition of Team Members**

It is expected that the consulting firm shall have at the minimum two (2) Experts as follows:

1. An Institutional/Organizational Development Expert with demonstrable experience in conducting needs assessment in different institutions/organizations on the Continent; and.
2. An Anti-Corruption Expert with a track record in similar assignments or substantive experience in an anti-corruption agency.

##### **iii. Educational Qualifications of Team Members**

The team members must have the relevant expertise as outlined in the composition of team members above). The Team Leader must have a PHD qualification.

##### **a. Education and Experience of the Institutional/Organisational Development Expert**

- Must have a Master's degree in Business Administration (MBA) or Master's degree in Development Studies, Economics, Law, International Relations, Public Policy, Human Rights, Public Administration or any other relevant Social Sciences discipline;
- At least 10 years demonstrable experience in organisational development;
- Must have worked on at least (three) 3 projects on development of institutional capacity building programmes/interventions; and
- Proficiency in English or French language.

## **b. Education and Experience of the Anti-Corruption Expert**

- Must have post-graduate qualifications in Political Science, Law, Economics, Statistics any relevant Social Sciences discipline;
- At least 10 years demonstrable work experience in anti-corruption, governance and corruption;
- Good understanding of the work of anti-corruption agencies and other agencies with an anti-corruption mandate;
- Proficiency in English or French language

## **F. Selection Method and Evaluation Criteria**

Selection of a suitable consulting firm will be based on the following:

- Proposed methodology, technical approach and understanding of the ToRs: 25
- Experience in working on similar assignments: 35
- Experience in governance and anti-corruption: 20
- Educational Qualifications: 20

Total Points is hundred (100) and the minimum technical score required to pass is Seventy (70)

For evaluation of the expressions of interest, the following criteria will be applied:

- Educational qualification(s) and relevant skills of team members (15 points);
- Team members experience related to the assignment – role in the Consultancy (30 points);
- Firm's experience related to the assignment (20 points); and
- Technical approach and methodology (35 points).

## **G. Documents to be submitted**

Interested Consulting Firms are requested to submit the following documents

- Detailed Technical Proposal (including work-plan, understanding and interpretation of the ToRs, technical approach and methodology to carry out the work);
- Profile of the Firm;
- CVs of the team members;
- Financial proposal; and
- Two reference letters from previous clients.

## **H. Submission**

- EOIs must be submitted to: [auabcregistry@africa-union.org](mailto:auabcregistry@africa-union.org) with copy to [munthalim@africa-union.org](mailto:munthalim@africa-union.org) ;
- The Title of the Assignment and Procurement reference number should be clearly indicated in the subject matter of the email;
- The deadline for submission is 13 April 2023 at 15:00Hrs East Africa Time (GMT +3).